

**Maine Revised Statutes**  
**Title 32: PROFESSIONS AND OCCUPATIONS**  
**Chapter 135: MAINE UNIFORM SECURITIES**  
**ACT HEADING: PL 2005, c. 65, Pt. A, §2 (new)**

**§16301. SECURITIES REGISTRATION REQUIREMENT**

It is unlawful for a person to offer or sell a security in this State unless: [ 2005, c. 65, Pt. A, §2 (NEW) . ]

**1. Federal covered security.** The security is a federal covered security;

[ 2005, c. 65, Pt. A, §2 (NEW) . ]

**2. Exempt from registration.** The security, transaction or offer is exempted from registration under sections 16201 to 16203; or

[ 2005, c. 65, Pt. A, §2 (NEW) . ]

**3. Registered.** The security is registered under this chapter.

[ 2005, c. 65, Pt. A, §2 (NEW) . ]

**SECTION HISTORY**

2005, c. 65, §A2 (NEW) .

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